



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date*	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
541835864	192422103	COGNEX	10 - Common Stock	Mandatory Exchange		N/A	N/A	N/A	N/A	8/17/2023	N/A	N/A	8/18/2023	N/A	N/A	2.00	N/A	203668108	10,000
541838418	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Stock Split		8/24/2023	8/15/2023	8/23/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	12628R107	10,000
541838419	232806109	CYPRESS SEMICONDUCTOR +	10 - Common Stock	Stock Dividend		8/16/2023	8/16/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.50	N/A	232806109	10,000
541838420	714046109	REVVITY INC. COM	10 - Common Stock	Spin Off		8/21/2023	8/15/2023	8/18/2023	8/21/2023	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	09256U105	10,000
541838422	53128T102	LIBERTY TAX, INC. CLASS A COMMON SOTCK	10 - Common Stock	Cash Dividend		8/21/2023	8/21/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$0.75	N/A	N/A	10,000
541838424	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Stock Split		8/23/2023	8/18/2023	8/22/2023	8/23/2023	N/A	N/A	N/A	N/A	N/A	N/A	2.00	N/A	55303J106	10,000
541838425	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Spin Off		8/22/2023	8/16/2023	8/21/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	0.80	N/A	09257D102	10,000
541835865	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Tender Offer		N/A	N/A	N/A	N/A	N/A	8/22/2023	8/23/2023	8/24/2023	N/A	\$55.00	N/A	58155Q103	N/A	10,000
541838426	896095106	TRICO BANCSHARES +	10 - Common Stock	Stock Split		8/24/2023	8/14/2023	8/23/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	3.00	N/A	896095106	10,000
541835870	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Tender Offer		N/A	N/A	N/A	N/A	N/A	8/18/2023	8/21/2023	8/22/2023	N/A	\$64.53	N/A	86943V209	N/A	10,000
541838429	989817101	ZUMIEZ INC.	10 - Common Stock	Optional Dividend		8/15/2023	8/15/2023	8/23/2023	N/A	N/A	8/22/2023	N/A	N/A	N/A	\$15.00	0.25	N/A	989817101	10,000
541838431	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Rights Distribution		8/22/2023	8/15/2023	8/21/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	09257R101	10,000
541836159	923725105	VERMILION ENERGY INC F	10 - Common Stock	Tender Offer		N/A	N/A	N/A	N/A	N/A	8/23/2023	8/24/2023	8/24/2023	N/A	\$25.50	N/A	377320106	N/A	10,000
541838432	318672607	PFD FIRST BANCORP P R RR	20 - Preferred Stock	Cash Dividend		8/14/2023	8/14/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.49	N/A	N/A	N/A	10,000
541838433	376536207	PFD GLADSTONE COMM SRA	22 - Preferred Stock - REIT	Cash Dividend		8/15/2023	8/15/2023	8/21/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.16	N/A	N/A	N/A	10,000
541838434	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Cash Dividend		8/16/2023	8/16/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.23	N/A	N/A	N/A	10,000
541841278	59160R102	ADR METRO AG F	110 - American Depositary Receipt	Cash Dividend		8/17/2023	8/17/2023	8/23/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.86	N/A	N/A	N/A	10,000
541838435	108441205	BRIDGESTONECORPADR*+	110 - American Depositary Receipt	Stock Split		8/22/2023	8/15/2023	8/21/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	108441205	10,000
541838436	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Cash Dividend		8/18/2023	8/18/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.30	N/A	N/A	N/A	10,000
541841277	643154JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Interest		N/A	8/14/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$1.33	N/A	N/A	N/A	100,000
541838437	64966LU41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Interest		N/A	8/16/2023	8/23/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$5.10	N/A	N/A	N/A	100,000
541840705	494550AY2	CB KINDER MORGAN ENRGY D02/12/08 5.950% FA18	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Exchange Offer		N/A	N/A	N/A	N/A	N/A	8/21/2023	N/A	8/22/2023	N/A	N/A	N/A	-1	-1	100,000
541841276	444425AA0	CD BARCLAYS BK DEL WIL D12/30/13 0.010% D 20	507 - Certificates of Deposit	Interest		N/A	8/18/2023	8/25/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$4.50	N/A	N/A	N/A	100,000
541841275	61762FAM8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Principal		N/A	8/23/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$11.35	N/A	N/A	N/A	100,000
541841274	64830XCJ6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Interest		N/A	8/23/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$2.25	N/A	N/A	N/A	100,000
541841273	G18375AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Final Paydown		N/A	N/A	8/21/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$10.50	N/A	N/A	N/A	100,000
541838438	83162CLK7	GOV'T SBA DEV 01-20D D04/11/01 6.350% A021	542 - Non-CMO/ABS Amortizing Issue	Interest		N/A	8/24/2023	8/25/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$1.15	N/A	N/A	N/A	100,000
541838439	43940TAB5	CB HOPE BANCORP INC D06/07/19 02.000% MN38	572 - Convertible Corporate Debt	Interest		N/A	8/23/2023	8/24/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$2.22	N/A	N/A	N/A	100,000
541838440	29364P202	ENTERGY NEW ORLEANS I NC 4.36% PFD +	704 - Corporate Debt Derivative	Interest		N/A	8/21/2023	8/22/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.75	N/A	N/A	N/A	10,000
541838441	74272L208	PRIVATEBANCORP CAP TR	705 - Equity Derivative	Cash Dividend		N/A	8/15/2023	8/16/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.46	N/A	N/A	N/A	10,000
541838051	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription		N/A	N/A	N/A	N/A	N/A	8/21/2023	8/22/2023	8/23/2023	N/A	\$11.00 + plus 1 right	1.00	N/A	59020U5E1	10,000
541840706	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Tender Offer		N/A	N/A	N/A	N/A	N/A	8/22/2023	8/23/2023	8/24/2023	N/A	\$27.35	N/A	92922F7E2	N/A	10,000
541838442	67076B103	UIT NUVEEN UNIT INVT BE+	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend		N/A	8/14/2023	8/23/2023	N/A	N/A	N/A	N/A	N/A	N/A	\$0.25	N/A	N/A	N/A	10,000
541835855	30326G470	FT 10070: UBS EAG QUALITY MOMENTUM TRUST 2022, SERIES 2	795 - Unit Investment Trust	IVORS**		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	See IVIQ/IVOR for details	See IVIQ/IVOR for details	N/A	See IVIQ/IVOR for details	10,000

Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not required to participate in testing.

Participants testing Voluntary Reorganization Instructions processing should use either the VRI ISO 20022 suite of messaging or refer to the PTS/PBS PTOP and PSOP functions.

* Due Bill Redemption Date should be treated as the last day due bill can be redeemed. The allocation of the securities will occur on the business day after Due Bill Redemption Date.

** Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Submission and Inquiries functions for further details.