



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Paya ble Date	Due Bill Redemption Date*** ****	Effective Date	DTC Instru ction Expiration Date	DTC Cover/Prot ect Expiration Date	DTC Anticipat ed Pay Date	Publicati on Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbur sed CUSIP	DTC Security Positions
542422436	192422103	COGNEX	10 - Common Stock	Stock Dividend	25-Sep-23	10-Oct-23	10-Oct-23	11-Oct-23		N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	192422103	10,000
542422467	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Tender Offer	25-Sep-23	N/A	N/A	N/A	N/A	N/A	13-Oct-23	16-Oct-23	17-Oct-23	N/A	\$ 25.00	N/A	N/A	N/A	10,000
542422437	902494103	TYSON FOODS, INC.	10 - Common Stock	Cash Dividend	25-Sep-23	19-Oct-23	12-Oct-23	18-Oct-23	19-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
542422438	714046109	REVVITY INC. COM	10 - Common Stock	Stock Split	25-Sep-23	13-Oct-23	10-Oct-23	12-Oct-23	13-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	714046109	10,000
542422440	364760108	Gap Inc	10 - Common Stock	Optional Dividend	25-Sep-23	13-Oct-23	10-Oct-23	12-Oct-23	13-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.20	0.3	N/A	364760108	10,000
542422469	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Exchange Offer	25-Sep-23	N/A	N/A	N/A	N/A	N/A	16-Oct-23	17-Oct-23	18-Oct-23	N/A	\$ 55.00	N/A	N/A	N/A	10,000
542422442	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Cash Dividend	25-Sep-23	19-Oct-23	12-Oct-23	18-Oct-23	19-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542422443	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Cash Dividend	25-Sep-23	12-Oct-23	12-Oct-23	18-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	N/A	10,000
542422444	896095106	TRICO BANCSHARES +	10 - Common Stock	Stock Dividend	25-Sep-23	13-Oct-23	10-Oct-23	12-Oct-23	13-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	896095106	10,000
542422445	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Spinoff	25-Sep-23	13-Oct-23	10-Oct-23	12-Oct-23	13-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.15	0.2	NA	43708L108	10,000
542422446	989817101	ZUMIEZ INC.	10 - Common Stock	Cash Dividend	25-Sep-23	12-Oct-23	12-Oct-23	18-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542422447	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Stock Dividend	25-Sep-23	10-Oct-23	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	706327103	10,000
542422448	923725105	VERMILION ENERGY INC F	10 - Common Stock	Optional Dividend	25-Sep-23	12-Oct-23	12-Oct-23	13-Oct-23		N/A	N/A	N/A	N/A	N/A	\$ 0.10	0.5	NA	923725105	10,000
542422449	14167R308	Carecloud Inc	20 - Preferred Stock	Stock Split	25-Sep-23	12-Oct-23	12-Oct-23	13-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00		14167R308	10,000
542422450	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Stock Dividend	25-Sep-23	10-Oct-23	10-Oct-23	11-Oct-23	N/A	N/A	28-Sep-23	N/A	N/A	N/A	N/A	1.00	N/A	78590A406	10,000
542422451	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred	Stock Dividend	25-Sep-23	13-Oct-23	10-Oct-23	12-Oct-23	13-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	72200U209	10,000

			Stock - ARPS																
542422452	83175M205	SMITH & NEPHEW GROUP P L C	110 - American Depository Receipt	Cash Dividend	25-Sep-23	12-Oct-23	12-Oct-23	18-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
542422453	108441205	BRIDGESTONECORPA DR*+	110 - American Depository Receipt	Cash Dividend	25-Sep-23	19-Oct-23	12-Oct-23	18-Oct-23	19-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
542422454	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Cash Dividend	25-Sep-23	10-Oct-23	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000
542422455	643154JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Interest	25-Sep-23	N/A	13-Oct-23	16-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	10,000
542422456	64966LU41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Interest	25-Sep-23	N/A	13-Oct-23	16-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,000
542422471	61772BA B9	MORGAN STANLEY GLOBAL MEDIUM-TERM NOTES, SERIES 1	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Exchange Offer	25-Sep-23	N/A	N/A	N/A	N/A	N/A	11-Oct-23	12-Oct-23	13-Oct-23	N/A	\$ 25.00	N/A	N/A	N/A	10,000
542422457	444425A A0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificates of Deposit	Interest	25-Sep-23	N/A	13-Oct-23	16-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$10.50	N/A	N/A	N/A	100,000
542422441	61762FA M8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Final Paydown	25-Sep-23	N/A	N/A	12-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1,000.00	N/A	N/A	N/A	100,000
542422458	64830XC J6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Interest	25-Sep-23	N/A	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,000
542422459	G18375A A6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Interest	25-Sep-23	N/A	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,000
542422460	G84393A C4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non-CMO/ABS Amortizing Issue	Interest	25-Sep-23	N/A	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	100,000
542422461	46124AA A9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertible Corporate Debt	Interest	25-Sep-23	N/A	13-Oct-23	16-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,000
542422462	61768CB J5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate	Interest	25-Sep-23	N/A	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	10,000

			Debt Derivative																	
542422463	11276B109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Cash Dividend	25-Sep-23	10-Oct-23	10-Oct-23	11-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A	N/A	N/A	10,000	
542422473	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription	25-Sep-23	N/A	N/A	N/A	N/A	N/A	11-Oct-23	16-Oct-23	17-Oct-23	N/A	\$15.00 + plus 1 right	1.00	N/A	74348 T102	10,000	
542422464	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Cash Dividend	25-Sep-23	10-Oct-23	10-Oct-23	11-Oct-23	NA	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000	
542422465	67062J102	NUVEEN MUNICIPAL INCOME FUND INC	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend	25-Sep-23	12-Oct-23	12-Oct-23	18-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.15	N/A		N/A	10,000	
542422472	46137L144	INVESCO UNIT TRUSTS TAXABLE INCOME SERIES 489 INVESTMENT GRADE INCOME TRUST, 7-13 YEAR SERIES 43 IGST43	795 - Unit Investment Trust	Exchange Offer	25-Sep-23	N/A	N/A	N/A	N/A	N/A	16-Oct-23	17-Oct-23	18-Oct-23	N/A	\$ 10.50			N/A	10,000	

Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

*** The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

**** The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

***** Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

***** Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

***** Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date